

16698

U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

03500.101435

First Named Inventor or Application Identifier

YOSHIHARU IKEGAWA

Express Mail Label No.

2390 U.S. PTO

10/787304

022704

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification Total Pages

4. ☒ Drawing(s) (35 USC 113) Total Sheets

5. ☒ Oath or Declaration Total Pages

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_/\_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 05514  
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax



| CLAIMS | (1) FOR  | (2) NUMBER FILED | (3) NUMBER EXTRA              | (4) RATE                      | (5) CALCULATIONS |
|--------|--|------------------|-------------------------------|-------------------------------|------------------|
|        | TOTAL CLAIMS<br>(37 CFR 1.16(c))   | 24-20 =          | 4                             | X \$ 18.00 =                  | \$72.00          |
|        | INDEPENDENT CLAIMS (37 CFR 1.16(b))  | 6-3 =            | 3                             | X \$ 86.00 =                  | \$258.00         |
|        | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))                 |                  |                               | \$290.00 =                    | \$770.00         |
|        |  |                  |                               | BASIC FEE<br>(37 CFR 1.16(a)) | \$770.00         |
|        |  |                  | Total of above Calculations = |                               | \$1100.00        |
|        | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). |                  |                               |                               | 0                |
|        | TOTAL =  |                  |                               |                               | \$1100.00        |
|        |  |                  |                               |                               |                  |

19. Small entity status


- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 1100.00 to cover the filing fee is enclosed.

21. ☒ A check in the amount of \$ 40.00 to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED |  |
|---|--|
| NAME  | LEONARD P. DIANA (Reg. No. 29,296)   |
| SIGNATURE   |  |
| DATE  | February 26, 2004  |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Roy A. BLACK *et al.*  
Appl. Serial No: 10/057,321  
Appl. Filing Date: September 24, 2001  
Title: CRYSTALLINE TNF- $\alpha$ -CONVERTING ENZYME AND USES  
THEREOF  
Examiner: Michael Woodward  
Art Unit: 1631

**STATUS INQUIRY**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

A Notice of Publication regarding the above-referenced application was mailed by the United States Patent Office on January 2, 2003. Applicants have received no further action in this case. Please advise Applicants of the status of this application.

Respectfully submitted,

Reg No. 48,571

Stephen A. Bent

For Reg. No. 29,768

27 February 2004  
Date

FOLEY & LARDNER  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5109  
CUSTOMER NUMBER

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PATENT TRADEMARK OFFICE

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